

## The professionals speak: Practitioners' perspectives on professional election campaigning

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## **The professionals speak:**

### **Practitioners' perspectives on professional election campaigning**

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#### **Abstract**

Faced with some fundamental changes in the socio-cultural, political and media environment, political parties in post-industrialized democracies have started to initiate substantial transformations of both their organizational structures and communicative practices. Those innovations, described as professionalization, become most obvious during election campaigns. In recent times, the number of empirical studies measuring the degree of political parties' campaign professionalism has grown. They have relied on a broad spectrum of

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<sup>1</sup> This article is dedicated to the memory of Jens Tenscher (1969-2015), friend and colleague, who made this research possible.

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indicators derived from theory which have not been tested for their validity. For the first time, we put these indicators to a “reality check” by asking top-ranked party secretaries and campaign managers in 12 European countries to offer their perceptions of professional election campaigning. Furthermore, we investigate whether any differences in understanding professionalism among party campaign practitioners can be explained by macro (country) and meso (party) factors. By and large, our results confirm the validity of most indicators applied in empirical studies on campaign professionalism so far. There are some party- and country-related differences in assessing campaign professionalism too, but the influence of most factors on practitioners’ evaluations is weak. Therefore, we conclude that largely there is a far-reaching EU-wide common understanding of professional election campaigning.

**Keywords:**

Electoral campaigning, professionalism, political parties, comparison, European Union

## **Introduction**

The literature on election campaigning has been dominated since at least the 1990s by the notion of professionalized campaigns (Scammell, 1995; Farrell, 1996; Asp and Esaiasson, 1996; Norris, 2000; Farrell and Webb, 2000; Negrine et al., 2007). The ubiquity of this notion was initially accompanied by a conceptual vagueness and a lack of empirical research that precluded a clear understanding of what constitutes professional campaigning (Lilleker and Negrine, 2002; Negrine and Lilleker, 2002). However, over the last decade a set of broad characteristics of professional campaigning have been developed, specifically recognizing the homogenization of electioneering; the adaptation of corporate communication tools to the sphere of political campaigning; the centralization of strategic design; and the employment of consultants that have led to an embeddedness of core competences within party campaign organizations (e.g. Lisi, 2013).

Concurrently, intensive efforts have been made to develop theory-driven empirical indicators of these characteristics and to measure the degree of professionalization in campaigning (Gibson and Römmele, 2009; Strömbäck, 2009; Moring et al., 2011; Tenscher et al., 2012; Tenscher, 2013; Tenscher and Mykkänen, 2013). While (often slight) differences inevitably emerge between lists of indicators and methods of measurement, on the whole we can speak of a growing consolidation of our understanding of professionalized campaigns; how to measure these; and of what factors may explain inter-party and cross-national variation in the degree of professionalism in campaigning.

There is however one shortcoming in the existing empirical work on professionalized campaigning. By being based on either (meta) literature reviews or on researcher observation, it assesses how a professional campaign *is expected* or *appears to* operate. This paper seeks to overcome this shortcoming, thus contributing to the consolidation of the empirical assessment

of professionalized election campaigning. It does so by introducing a unique dataset by which to assess election campaign professionalism: the perceptions of party campaign practitioners from 68 parties across Europe. In particular, we are interested in understanding:

1. How practitioners understand and characterize professional election campaigns – and to what extent theoretically developed indicators of professional election campaigning are empirically valid.
2. To what extent there are differences between parties across nations in the understanding of professionalism and what explains these differences.

By triangulating existing empirical work with the perceptions of campaign practitioners, this paper allows us to further assess the validity of existing accounts of professionalism, with regard to: (i) what constitutes professional election campaigns, and (ii) what explains inter-party variation in professional campaigning. Our work will thus help corroborate prevailing notions of professionalized campaigning; and, where differences emerge between existing academic research and practitioners' perspectives, open new avenues for empirical research.

### **The election campaign professionalism**

There is little doubt that the way electoral campaigns are planned, organized and conducted has changed profoundly over the last three decades. There is however less consensus on what has driven this change; how it has evolved over time; and how it should be assessed. Initially, this change was considered to be part of fundamental processes of homogeneity such as 'Americanization' or 'globalization' (Butler and Ranney, 1992; Kavanagh, 1995; Scammell, 1995; Farrell and Webb, 2000; Blumler and Gurevitch, 2001; Plasser and Plasser, 2002; Xifra, 2011). These terms point to a *global export* of campaign techniques and features that have been invented and primarily used in the US. However, the extent of Americanization, as well as the validity of the assumptions underpinning this notion, has been extensively

questioned. Studies have refuted the influence from US campaigns and specialist consultants who operate across nations, arguing that innovations emerge locally and simultaneous to developments in the US. Only minimal evidence exists of direct replication (Negrine and Papathanossopoulos, 1996; Baines and Egan, 2001; Holtz-Bacha, 2007; Negrine, 2008). Although studies recognize some influence from practice in the US, they acknowledge that the innovations are influenced by their local political, social and media environment (e.g. Uğur, 2012). Even consultants imported from the US argue that local context matters (Lees-Marshment and Lilleker, 2012).

Setting the Americanization debate to one side, one cannot ignore the social, political and technological drivers within most post-industrial democracies that have shaped election campaigning. Since at least the beginning of the 1960s traditional class cleavages eroded and partisan dealignment delivered a more volatile electorate (Clarke et al., 2004). This electorate increasingly votes on the basis of personal economic calculations (Heath et al., 2001) and life style choices (Hooghe et al., 2002). As a result, parties have shifted from predominantly mobilizing adherents to persuading undecided voters at each contest. Furthermore, technological innovations have transformed the media environment, with multi-platform digital entertainment delivering a fragmented, hard to reach audience. The new media environment requires new campaign strategies and techniques. Cumulatively these trends, affecting all developed nations, have led political parties to initiate substantial changes, both in their organizational structure as well as their communicative strategy.

With regard to the evolution of campaigning, a number of influential works (Blumler and Kavanagh, 1999; Lees-Marshment, 2001; Norris, 2000: 137-47; Gibson and Römmele, 2001; Plasser and Plasser, 2002: 4-7) have characterized the transformation in campaigning into three ages or phases which are said to be different in their communicative modes, structures, and strategies: a premodern (party and organization-centred), modern (candidate-centred), and

postmodern (message- and marketing-driven) phase. While the phase model provides useful classification of how campaigning has generally changed over time, we should be careful not to rely on the model necessarily as a reflection of growing professionalization. Parties may use premodern, modern and postmodern techniques at the same time, according to specific contexts, needs and constraints. It is exactly this mixture of campaign components of different phases that characterizes professional campaign management (Tenscher, 2007) as flexible adaptation.

Therefore, professionalized campaigning does not stand for a specific communication phase. To adapt the definition of Papathanassopoulos et al. (2007: 10), a professionalized campaign is one that has a ‘better and more efficient organization of resources and skills in order to achieve desired objectives’. Professionalism in election campaigns thus reflects adaptations to modernization-related transformations in the campaign environment – notably in the political and media environment (Negrine and Lilleker, 2002) – and contains a number of structural and strategic components (Tenscher, 2007).

In recent years there has been growing interest in measuring political parties’ campaign professionalism (Nord, 2006; Gibson and Römmele, 2009; Strömbäck, 2009; Moring et al., 2011; Tenscher et al., 2012; Lisi, 2013) in first- and second-order elections and trends of professionalization (Tenscher, 2013; Tenscher and Mykkänen, 2013, 2014). Two main approaches can be identified in these measurements: 1) the CAMPROF-index introduced by Gibson and Römmele (2001), slightly adjusted by Strömbäck (2009), which concentrates on one dimension, i.e. campaign structures (finances, personnel, infrastructure, and communicative resources); and 2) the Professionalization-index introduced by Tenscher (2007) and Tenscher, Mykkänen, and Moring (2012) which differentiates between two dimensions: campaign structures and strategies. While campaign structures refer to organizational adaptations of political parties or their campaign ‘hardware’, campaign

strategies incorporate a number of activities, such as event and news management, narrowcasting, personalization, free media or paid media activities, i.e. a campaign's 'software' (Tenscher and Mykkänen, 2014: 7-9).

While these studies are valuable, the wider adequacy and validity of these measures need to be further tested. By presenting practitioners' perspectives on election campaign professionalism, we are able to further assess their validity. Using practitioners' perceptions to assess research findings is a growing practice across scientific domains (e.g. Rogers and Williams, 1989; Ingram and Desombre, 1999) and has shown considerable impact in political science also (viz. the reactions to Corbett, 2000). Moreover, as these practitioners play a key role in election campaign decisions: as such, their perceptions are likely to shape outcomes. And last but not least, practitioners have a unique vantage-point in election campaigns. Their perceptions provide insight into the dynamics of contemporary campaigning that are otherwise inaccessible. Overall, then, by assessing how these privileged observers of election campaigning perceive professionalism, we can more fully evaluate the academic constructs of professionalized campaigning; and gain insights into how campaigns are constructed and carried out.

## **Methodology**

### **Case selection**

To acquire knowledge of practitioners' understanding of professional election campaigning we conducted a survey among top-ranked party secretaries and campaign managers in twelve European countries. The countries selected reflect the broad spectrum of political, media-, and campaign-related differences in contemporary Europe (see Table 1). We expect that these contextual characteristics affect political actors' understanding of professional election campaigning (Plasser and Plasser, 2002; Tenscher et al., 2012).



- Table 1 about here -

First of all, the selected countries vary politically. Most of the selected countries are parliamentary democracies of which three have a monarchical tradition (Netherlands, Spain, and United Kingdom). But the countries vary in democratic experience. While there have been parliamentary elections in France and the United Kingdom since the 19<sup>th</sup> century, in the new EU member states, Czech Republic, Hungary, and Slovakia, democracy was introduced no earlier than 1990. The countries also differ in their electoral systems: most of the countries examined operate a proportional representation electoral system in which either parties and/or candidates are elected. However, France and the United Kingdom use first past the post, in both countries, also candidates and not parties run for election.

Almost all countries have a coalition government, Malta being the exception. In addition, the party systems differ in the number of parties represented in parliament, from two (Malta) to 16 (Spain). In most of the countries, five to ten parties are in parliament.

Second, the countries selected vary in their media environment, representing different ‘models of media and politics’ (Hallin and Mancini, 2004). Four belong to the Mediterranean, polarized pluralist model (France, Malta, Portugal, and Spain) and four to the North/Central European, democratic corporatist model (Austria, Finland, Germany, and Netherlands). Three countries were classified as ‘transitory’ (Tenscher, 2008), since their media systems are still under construction, respectively the Czech Republic, Hungary and Slovakia. Finally, the North Atlantic or liberal model is represented by the United Kingdom.

Lastly, we selected the countries on their campaign\_regulations. We have chosen two discriminating indicators: limitations to electoral expenses and restrictions on advertising.

While in eight countries electoral expenses are regulated by law, (almost) no limitations exist

in the Czech Republic, Germany, the Netherlands, and Slovakia. The Netherlands is the only country in our sample in which electoral campaign advertising is unrestricted. In most other countries moderate regulations of the content, timing, and/or extent of specific (not all) advertisements (e.g., TV commercials, billboards, posters, and trinkets) exist. In France, all paid media activities are strictly controlled.

We included all parties that were represented in parliament and those parties that were, according to pre-election polls, expected to win at least one seat in the next election. In total, 82 parties were approached by the authors for an interview with top-ranked party secretaries, campaign managers or their equivalent (one person per party).<sup>1</sup> Response rates varied from one party (Malta) to eight parties (France, Netherlands (Table 1)). On average, 82.7 percent of those parties contacted participated ( $SD = 14.6$ ). Our final sample consists of 68 parties. The interviews were conducted between February and September 2013 either face-to-face, by telephone or mail using a semi-standardized questionnaire, which measured different aspects of professional campaigning in national parliamentary elections.

### **Operationalization**

To measure the validity and practical relevance of the indicators of professionalization developed from previous research, we made use of a set of closed questions. Respondents were asked to state how important each indicator is for a professional national parliamentary election campaign in their country. They could answer on a scale from 1 ('not at all important') to 5 ('very important').<sup>2</sup>

In accordance with Tenscher (2007, 2013) and Tenscher, Mykkänen, and Moring (2012), we initially separated structural or organization-related aspects of professional campaigning from strategic or communicative aspects. Organization-related aspects or campaigns' structural 'hardware' cover a number of features such as the size of the campaign budget, the staff size,

campaign duration, the degree of centralization of campaign organization, the differentiation of internal communication structures, the degree of externalization, the nature and degree of feedback and the degree of opposition research. For theoretical reasons these features were conceptualized as one dimension; empirically, the index of all indicators measuring the degree of campaigns' structural professionalism showed a sufficiently high internal consistency (e.g. Tenscher and Mykkänen, 2014: 10). For our study, we derived twelve items from this index: 1) a carefully planned budget, 2) the size of the campaign budget, 3) large number of employees in the national campaign headquarter, 4) clearly established roles and functions in the national campaign headquarters, 5) resources for opposition research, 6) centralized planning of the campaign by national headquarters, 7) centrally supervised campaign by national headquarters, 8) collaboration with experienced personnel from within the party, 9) collaboration with external consultants, 10) large number of campaign volunteers, 11) effective intra-party communication, 12) length of the campaign from the planning to Election Day.

In addition to organizational-related aspects we asked the importance of elements of campaigns' 'software'. This includes the degree and relevance of news management, free media, talk shows and paid media, the degree of audience targeting, narrowcasting activities and personalization. In the studies mentioned above, these components seemed to build a distinct dimension separated from structural aspects (e.g. Tenscher, 2013: 247). We transformed the strategic indicators applied in Tenscher et al. (2012) into the following 32 items: 1) choice of right strategy, 2) negative campaigning, 3) willingness to attack the political opponent even 'below the belt', 4) clean (fair) campaigning, 5) choice of right issues, 6) the right top candidates, 7) willingness of the top candidates to reveal a little privacy, 8) good timing, 9) information on expectations and motivations of relevant groups of voters, 10) use of polls, 11) use of focus groups, 12) systematic observation of political opponents, 13)

systematic press reviews and media content analyses, 14) having an impact on media's agenda 15) use of paid media such as TV spots, posters or advertisements, 16) TV spots on public channels, 17) TV spots on commercial channels, 18) radio spots on commercial channels, 19) radio spots on public channels, 20) advertisements in print media, 21) internet advertisements, 22) outdoor advertisements, 23) presence of party and top candidates on TV, 24) presence of party and top candidates on the internet, 25) communication with voters via telephone, 26) email, 27) Facebook, 28) Twitter, 29) YouTube, 30) other online media, 31) face to face, 32) canvassing.

To answer the first research question (RQ1. To what extent are the indicators of professional campaigning discussed empirically adequate and valid?) we looked at the relevance of each item, on the basis of interviews, and tested empirically the – theoretically derived – dimensionality of the two main components, campaign structures and strategies, with factor analysis. This approach facilitates future empirical studies of professional election campaigning, as it provides a framework for weighing indicators properly (e.g. Tenscher et al., 2012: 165).

In the next step of analysis, we investigate the following research questions (RQ2a) Are there party-related differences in understanding election campaign professionalism and (RQ2b) how can they be explained by a) meso (party) and b) macro (country) factors? In their original article on the party-centred theory of campaign professionalism Gibson and Römmele (2001) outlined conditions that are likely to lead to the adoption of professional campaign techniques. Here, we follow their advice in operationalizing the key features they note when arguing: 'the move toward professional campaigning is seen as most likely to take place in a well-funded, mainstream, right-wing party with significant resources and a centralized internal power structure that has recently suffered a heavy electoral defeat and/or a loss of governing status' (Gibson and Römmele 2001: 37). In addition, we assume that the length of parties' experience

with democratic elections influences their understanding of professional campaigning, parties in new democracies being perhaps more prone to, for example, ‘postmodern’ campaign techniques. Although age of democracy is not straightforwardly a determinant of stability, it has been observed that party systems in new democracies show higher levels of electoral volatility and relative instability (Mainwaring and Zoco, 2007), which may have an effect on campaign structures and strategic decisions. Therefore, we have chosen the following independent variables at the meso level:

*Size* (percentages of votes obtained at the last national parliamentary election); *Party type* (dichotomous variable differentiating catch-all and client parties depending on the share of votes); *Ideology* (a five-point scale ranging from 1 ‘far left’ to 5 ‘far right’); *Internal shock* (number of years since the last change in party leadership); *External shock* (electoral failure in previous national parliamentary election, measured as difference in percentage points gained in last elections compared to next to last elections); *Parliamentary role* (dummy variable differentiating between governmental, oppositional and extra-parliamentary party); *Electoral experience* (years since party’s foundation).

In this study, we are interested primarily in party level effects due to a relatively small number of countries in our data. However, it is important to recognize that there are country- and region-specific patterns of election campaigning as well (Plasser et al., 1999; Plasser and Plasser, 2002; Tenscher et al., 2012) and thus, differences in these patterns should result in (or emerge from) diverse understandings of professional election campaigning. Country-related differences seem plausible in the light of a number of comparative studies dealing with 1. first-order (e.g. Norris, 2000; Farrell, 2002) and second-order election campaigns in Europe (e.g. Bicchi et al., 2003; Tenscher et al., 2012); 2. variations in political consulting and political marketing (e.g. Plasser and Plasser, 2002; Lees-Marshment and Lilleker, 2012); 3. different approaches to web campaigning (e.g. Ward et al., 2008; Lilleker et al., 2011); and 4.

with different types of national political communication cultures (Pfetsch, 2014). For these reasons, we included a number of country variables as controls in subsequent regressions models. These independent variables are prominent in the literature (e.g. Swanson and Mancini, 1996; Hallin and Mancini, 2004; Esser and Strömbäck, 2012):

*Size of the population*; *Turnout* in the last parliamentary elections (measured in percentages);

*Interest in politics* (four-point-scale, recoded to ‘strong’= 1 and ‘low’ = 0, Source:

Eurobarometer 78.1, November 2012); *Trust in political parties* (dichotomous variable differentiating between ‘tend to trust’ =1 and ‘tend not to trust’ = 0, Source: Eurobarometer

78.1, November 2012); *Degree of fragmentation* of the parliamentary system (the degree of competition in the ‘political market’, measured as number of parties represented in the

national parliament); *Model of media and politics* (dummy variable differentiating between

liberal, democratic corporatist, polarized pluralist, and transitory); *Campaign regulations* (i.e. limitations on electoral expenses and restrictions on advertising as dummy variables).

In this study we test whether there are macro (national) explanations for differences rather than setting out macro-level hypotheses. Broadly however we might expect newer democracies to have adopted the latest techniques as much as possible whereas older parties in older democracies may be more tied to traditional forms of campaigning. However this may be mediated by the size of the population and fragmentation of the party system, both of which may demand greater professionalism. High or low levels of political interest or trust may have a variety of effects, demanding that specific strategies be pursued to a greater extent than others, as might the types of media system parties work within. Campaign regulation levels may act as constraints or facilitators, with our expectation being that the lower the regulations the lower the professionalism as campaign strategy is free to use a broader range of ‘software’. Our expectation is, however, that actually macro-level explanations will be

weaker than meso, party, level factors which are more likely significantly determine strategic thinking.

## **Results**

Our first goal was to assess the adequacy and validity of the indicators of professional campaigning derived from the literature. Providing that a high degree of agreement exists between scholarly ideas and practitioners' views, we have confidence that these indicators are valid and reflect campaign reality across European parties. In Table 2, we present the means for the structural components of our measurement tool.

*-Table 2 about here-*

For initial validation, we decided to drop all items failing to reach the 3.5 level of the five-point scale. In other words, only those items that were deemed 'important' or 'very important' by the campaign managers were included in further analysis. Using this selection criterion, the collaboration with external consultants and having a large number of employees in party headquarters were dropped from the list of indicators of structural professionalism. It is worth noting that the least important structural features of campaigning are, besides external consultants and a large number of employees in the party headquarters, opposition research, a long campaign, and a large budget. This finding contradicts to some extent the assessment of former campaign strategists emphasizing the extraordinary importance of large budgets for successful campaigning (e.g. Abrams 1962: 4-5). Campaign managers nowadays seem to put more emphasis on division of labour, planning, communication, and volunteers.

Examining whether there are significant differences between countries, we find that the only structural indicators that turned out statistically significant were those that measure the degree

of campaign centralization, i.e., central planning and central supervision. In most countries, centralization was considered important, however in France, Spain and United Kingdom the campaign managers were highly divided on this issue. In French and British parties local autonomy was considered equally important to central coordination. This finding is unsurprising as France and the United Kingdom both have an electoral system with local voting in single member districts, and in the UK there is a strong tradition of local campaigning at least in marginal constituencies (Negrine and Lilleker, 2002).

Considerably more variance exists in the means of the strategic indicators (see Table 3). Face-to-face communication with voters, visibility of the top candidates on television, issue choice, and good strategy were almost unanimously considered ‘very important’ by the party representatives interviewed, whereas negative and unfair campaigning and use of private television and radio channels for airing spots were generally thought of as ‘rather unimportant’. Out of the 32 indicators, 15 remained below the 3.5 level, yet only one indicator – unfair campaigning – had a lower value than 2. Yet, this indicator was not included in the original CAMPROF and Professionalization indices. Almost all the removed indicators relate to the use of specific media for advertising purposes. Internet based ‘new media’ appear also less relevant for the campaign managers (excluding Facebook). On the other hand, candidates’ presence on the Internet and television is highly important as well as agenda control.

*-Table 3 about here-*

Large differences exist in the importance of these indicators at the country level, but it is not easy to recognize patterns. However, it is important to take up the issue of country differences here as a significant number of indicators were omitted due to them failing to meet the 3.5 or



more average importance score. For example, negative campaigning, which was generally ranked least important, is seen as ‘important’ or ‘very important’ by the majority of French and Hungarian respondents. We are unable to explain this deviation. It might be the result of a national campaign culture more open to negative campaign techniques or resulting from the ideological polarization and personalization in Hungary during recent years. The importance of candidates’ privacy was also exceptionally prominent among the Hungarian as well as Slovakian respondents. Hungary was the only country where the right choice of issues was also considered ‘not important’ by some party representatives. On the other hand, Hungary, Slovakia and the Czech Republic were the only countries in which the use of polls was considered ‘important’ or ‘very important’. On the one hand, the results suggest that campaigning in ‘new’ democracies requires more emotionally laden, personalized and populist style in comparison to ‘old’ democracies. On the other, these findings might reflect a stronger ‘Americanization’, and so direct borrowing from established democracies, of campaign professionalism in Eastern Europe compared to Western Europe (Plasser, 2000: 45).

So far, we have merely eliminated index items that, in our rather conservative judgment, do not play an important role in electoral campaigns. Next, we assessed whether the – theoretically derived – dimensionality of the main components is supported empirically. We conducted a factor analysis separately for the structural and strategic aspects of the campaign. The number of factors present in the tables is a result of explorative iterations. No confirmatory analysis was performed. Initially we assumed that the structural and strategic items would all load meaningfully on just one factor each. This produced very weak results. The next step was to find a statistically satisfactory solution which would also be meaningful in terms of interpretation. The results indicate clearly that we need to revise the unitary idea of organizing different campaign elements along two simple dimensions.

Table 4 shows three different factors for campaign structures. The first factor, which is statistically the most robust of the three, contains indicators that relate to organizational tasks of a campaign, such as planning, division of labour, and collaboration. The second factor constitutes indicators of campaign resources if one is able to regard the length of the campaign as a campaign resource (the longer the campaign more resources are needed in general, but it does allow for more campaign activities). The third factor is less robust and has a relatively weak Cronbach's  $\alpha$  value (below 0.5). One could interpret this factor as comprising of features that relate to mobilizing (large number of volunteers) and coordinating (intra-party communication) the campaign. In this sense, the third factor bears affinity to the first, yet it has a wider focus and consequently contains features parties are more or less likely to include within their overall strategy as context demands as opposed to the use of each being contingent to some extent on one another.

*-Table 4 about here-*

In Table 5, the strategic indicators are divided between four factors. Each factor has at least a satisfactory Cronbach's  $\alpha$  value which reflects internal factor coherence and thus we should be able to interpret these dimensions intuitively. The first factor combines voter information, timing, polling, focus groups and influencing the media agenda. Acquiring information about voters' expectations and motives, organizing polling and focus groups and influencing the media agenda indicate both adjusting campaign messages to the moods and preferences of the public and manipulating the public. The timing dimension is related to the latter function as the right moment to use information. Thus, the first factor covers strategic use of information. The second factor has a strong emphasis on campaign publicity, this time through the presence and selection of candidates as well as systematic follow-up activity by the campaign

team. The third factor is oriented towards various ways of (electronically) contacting voters and sharing current information with them. Clean campaigning is hard-pressed to be included conceptually in this factor. However, it is logical if cleanness is viewed as part of the campaign image and regular communication with voters is associated with transparency. The fourth factor is difficult to interpret as it seems to split between personal contact with voters and campaign planning. Correspondingly, this factor has the lowest Cronbach's  $\alpha$  value. In summary, campaign strategy can be divided into four dimensions: information, publicity, voter contact, and the mixed factor that covers planning and personal presence in the field.

*-Table 5 about here-*

Second, we examined whether there are party related differences in campaign professionalism (RQ 2a). In Figure 1, all 68 parties in our sample are represented in a two-dimensional space consisting of our two basic indices of campaign professionalism. The points in the diagram are party means of the measures of campaign structures and strategies with a range of 1 to 5. We included only those variables that reached the cut-off point of 3.5 (see tables 2 and 3 above). Overall, it seems that strategic aspects are considered slightly more important by the campaign managers than structural aspects of a campaign. More than half of the parties are located on the left side of the diagonal axis, indicating emphasis on campaign strategy. Still, a strong correlation between evaluations of campaign structures and campaign strategy exists (Pearson's  $r=0.646$ ,  $p \leq .01$ ). We also observe a considerable amount of variance within countries. For instance, the large UK parties (Labour and Conservatives in the lead) both appear highly professionalized, but the anti-EU UKIP has the lowest scores of all parties involved in our study. There is no single country whose parties would cluster in any one place

in the figure. This strongly points towards studying party level factors more carefully as country characteristics appear to explain few observed differences.

*-Figure 1 about here-*

Finally, we explored the various dimensions of election campaign professionalism more fully in order to assess the explanatory power of country and party variables (RQ 2b). Table 6 shows OLS models for structural factors. As said earlier, we are mostly interested in meso or party level variables whereas the macro or country level variables function here as controls. Although the models explain a relatively good amount of variance, none of the explanatory variables of primary interest were statistically significant, which is most likely due to the limited number of cases in our data set. The first factor – which covers organizational aspects of campaigning – was associated with only one control variable, namely restrictions of advertising. The only explanation we might offer for this in the case of the first factor is that where advertising is restricted the most there are most likely less outside agencies involved and hence less strain on organization. Electoral experience comes out as the best explanation of the second factor (campaign resources). This seems logical as the parties that have functioned for a long time and experienced many elections have also developed organizational models for campaigning which, after being institutionalized, require resources. The third factor has no statistically significant correlations.

*-Table 6 about here-*

The OLS analyses of strategic factors are presented in Table 7. Our ‘strategic use of information’ factor 1 correlates only with the catch-all type of party. Catch-all parties, by

definition, try to appeal to heterogeneous audiences and maintain a wide political platform and may therefore have to resort to considerable planning of message distribution and group-specific moulding of message contents. For this purpose, the parties need information about voter preferences. Large parties are also more often subject to news coverage than smaller parties and they may have to pay more active attention to their news management skills.

*-Table 7 about here-*

Concerning the second factor, only party size has a significant impact. We can assume that the larger the party (in terms of personnel and resources) the better opportunities there exist for presenting suitable top candidates for television, systematic campaign monitoring and analysing political opponents. Internal and external shocks come out as the best explanations of the third factor which deals with electronic communication with voters and fairness (or transparency). Net-based communication allows parties to manage their public image without interference by normal media gatekeepers and define their own sense of fair and open campaigning. This may become critically important when parties try to take the initiative after electoral defeat or change of party leadership. The fourth factor – which is internally divided between the substance of strategic choices and the importance of personal communication with voters – correlate with no party level variables but with several country level variables. The zero effect on party level is not surprising given the internal structure of the factor. On the macro level we see a few effects of interest. An interesting and relatively strong positive correlation is found between the factor and interest in politics. A highly engaged electorate may require more targeted and policy specific communication and are more willing to discuss politics with candidates face to face. Success in previous election diminishes the importance of this factor which seems expected as success breeds self-confidence as well as less need for

awareness building strategies. The importance of the factor decreases with increased public trust in parties and fragmentation of the party system. If people trust parties, the parties are perceived to have consistent programmes, and so there is less need for careful issue orientation and, perhaps, face to face campaigning. Party fragmentation is generally more prevalent in countries with a proportional system in which canvassing, for example, is less common than in majoritarian systems. Fragmented party systems will encourage quite specific issue orientation, to aid differentiation. Parties may find it more convenient to stick with their regular constituent interests. The macro variables are otherwise difficult to interpret as they tend to override each other's individual effect. This may be a result of multicollinearity but as we were interested in party variables this was not explored further.

## **Conclusion and discussion**

This comparative study on the validity and adequacy of empirical indicators of professionalization of election campaigns has studied the perceptions of campaign experts of 68 political parties in 12 European countries (including several 'new' democracies). This study thereby presents a unique and very extensive data set. Although this dataset is the largest to date, this study is still limited in scope, geographically and temporally. As a result, our statistical power (N=68) is limited and all conclusions on the country (macro) and party (meso) factors explaining differences in campaign professionals' understandings of the concept of professionalization are exploratory and indicative only.

However, the study not only offers scholars greater knowledge on the validity of the tools they use to measure campaign professionalism, but also insights surrounding how these perceptions differ across countries and parties and indicates a basis for a theory on how these differences might be explained. In this study we find that most of the indicators that were used in former studies to measure professional campaigning (e.g. Tenscher et al. 2012) were

perceived as very important or important by campaign practitioners. However, there were also two structural components (a large number of employees in the national campaign headquarter and collaboration with external consultants) and fifteen strategic items that did not make our cut-off point. As a consequence, we would argue that future studies measuring campaign professionalism should a) cut down their list of indicators and b) weigh indicators in accordance with the perceptions of campaign managers. This would bring theory of political campaigning closer to practice.

For the first time, we tested empirically the dimensionality of the two main components of professional campaigning, structures and strategies. Factor analysis showed that the campaign structure constitutes actually three components (i.e. campaign organization, resources and coordination) and that campaign strategy has four components (information, publicity, voter contact, and the mixed factor covering planning and personal presence in the field).

Furthermore, the findings show us that there are some country differences in the perceptions of campaign professionalism and that on the strategic dimension these differences are also significant. Three country groups are found to record differences in the importance of the strategic elements of professional campaigning (low: France and Portugal, moderate: Finland, The Netherlands, Spain and UK and high: Austria, Czech Republic, Germany, Hungary and Slovakia). Results indicate that explanatory factors for several components of the structural dimension of professional campaigning are electoral experience and campaign regulations on advertising. For the strategy dimension of professional campaigning factors almost all hypothesized explanatory variables seem to matter.

A more pessimistic reading of these data is that the findings are somewhat random and may display weak or spurious causal relationships. As with all studies relying heavily on statistical analysis of a small number of cases this may be a danger. We could therefore argue that there are minimal actual differences between the majority of parties surveyed, but there are outliers

which skew findings. Such a conclusion may indicate equalization in terms of professionalization (Plasser, 2000), with parties campaigning using fairly similar strategies just reporting slightly different priorities. Here we argue that other less tangible variables are at play such as party traditions of campaigning and perceptions of what works within their context. Equally parties may feel certain methods of reaching and influencing voters are more appropriate given their character, ethos, identity or the demographic they see as most fertile to target. Such indicators may in some cases be governed by resources but could also be a feature of other decision making processes internal to the party.

Whilst we are aware of the limitations of this study in its sample size, we think it is a step forward towards a more complete theory on campaign professionalization and a better tool to measure professionalism in campaigning, one that is not only useful in the eyes of scholars studying election campaigns, but also for campaign practitioners.

Further research is needed studying perceptions of professional campaigning over an even larger number of countries, parties, and elections to strengthen the preliminary claims made and conclusions drawn in this study. In particular research across the EU28 nations, possibly including a wider sample of political parties in order to increase the suitability of the sample size for regression analysis. Confirmatory interviews could also be carried out within parties to ensure the validity of the perceptions of practitioners. Of greatest importance though is testing the factor analysis and validity of the structural and strategic component groupings. Further testing is also required of the key findings to determine the validity of the explanatory variables or indeed whether inter-party and cross-national differences are actually minimal and we are largely finding homogeneity in campaign professionalism.

## **Notes**



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<sup>2</sup> Missing values were set to 0.

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**Table 1: Country Characteristics (October 31<sup>st</sup> 2013)**

	Austria	Czech Republic	Finland	France	Germany	Hungary	Malta	Netherlands	Portugal	Slovakia	Spain	United Kingdom
Political system	semi-presidential quasi-parliamentary democracy	parliamentary democracy	parliamentary democracy	semi-presidential parliamentary democracy	parliamentary democracy	parliamentary democracy	parliamentary democracy	parliamentary monarchy/ democracy	semi-presidential quasi-parliamentary democracy	parliamentary democracy	parliamentary monarchy/ democracy	parliamentary monarchy/ democracy
First democratic election	1945	1990	1907	1875	1949	1990	1966	1945	1975	1990	1977	1832
Last national elections	09/2013	10/2013	03/2011	06/2012	09/2013	05/2010	03/2013	09/2012	06/2011	03/2012	11/2011	05/2010
Electoral system	proportional party list voting with preferential voting (electoral numbers)	proportional candidate/party voting with preferential voting	proportional candidate/party voting <sup>2</sup>	first past the post candidate voting in single member legislative districts, two-rounds system	proportional candidate/party voting	individual constituency seats; combined regional and national party lists	proportional candidate voting with single transferable votes	proportional party list voting with preferential voting	proportional party voting, closed list	proportional party voting with preferential voting	proportional candidate/party voting	first past the post candidate voting in single member legislative districts
Type of government	Coalition	Coalition	Coalition	Coalition/Block	Coalition/Block	Coalition	One party	Coalition	Majoritarian/ currently a coalition	Coalition	Majoritarian	Majoritarian/ currently a coalition
Number of parties in parliament	6	7	9	9	5	4	2	11	5	7	7/16 <sup>3</sup>	10 <sup>4</sup>
Number of parties participating in this study	5	5	7	8	6	5	1	8	5	6	6	6
Party system	moderate pluralistic	polarized pluralistic	polarized to moderate pluralistic	moderate pluralistic	moderate pluralistic	polarized to moderate pluralistic	polarized pluralist	moderate pluralistic	moderate to polarized pluralistic	moderate pluralistic	moderate to polarized pluralistic	polarized pluralistic
Model of media and politics	democratic corporatist	transitory	democratic corporatist	polarized pluralist	democratic corporatist	transitory	polarized pluralist	democratic corporatist	polarized pluralist	transitory	polarized pluralist	liberal

<sup>2</sup> One member (from the Åland Islands) is elected by a simple majority vote.

<sup>3</sup> There are 7 parliamentary groups. One of them is called „Plural Left” (composed of three parties that agreed to distribute territories where they were able to run) and another is called „Mixed Group” with eight parties.

<sup>4</sup> Plus The Speaker and one Independent



Limitations to electoral expenses	Yes	No	Yes	Yes	No	Yes	Yes	No	Yes	No	Yes	Yes
Restrictions on advertising	Moderate	Moderate	None	Strict	Moderate	Moderate	Moderate	No	Moderate	Moderate	Moderate	Moderate

**Table 2: Evaluation of Structural Components of Professional Campaigning**

	<b>Mean</b>	<b>SD</b>
A large number of campaign volunteers*	4.49	0.658
An effective intra-party communication*	4.44	0.699
Clearly established roles and functions in the national campaign headquarter*	4.40	0.883
A carefully planned budget*	4.37	0.731
A centralized planning of the campaign on the level of the national campaign headquarter*	4.19	1.069
Collaboration with experienced personnel from within the party*	4.10	0.933
A centrally supervised campaign from the level of the national campaign headquarter*	3.96	1.112
The size of the campaign budget*	3.79	0.890
Length of the campaign from the planning to Election Day*	3.53	1.085
Units/persons for opposition research*	3.50	1.072
A large number of employees in the national campaign headquarter	2.94	1.035
Collaboration with external consultants	2.94	1.244
<b>Total</b>	<b>3.51</b>	<b>0.523</b>

*Note: Scale: 1 ("not at all important") to 5 ("very important"), N=68*

*\*Included in subsequent analyses*

**Table 3: Evaluation of Strategic Components of Professional Campaigning**

	<b>Mean</b>	<b>SD</b>
Choice of right strategy*	4.71	0.49
Choice of right issues*	4.63	0.731
Presence of party and top candidates on TV*	4.57	0.630
Communication with voters face to face*	4.57	0.816
Information on expectations and motivations of relevant groups of voters*	4.44	0.853
Good timing*	4.4	0.672
An up-to-date appearance of party and top candidates on the internet*	4.32	0.837
The right top candidates*	4.26	1.141
Having an impact on media's agenda*	4.25	0.817
Communication with voters via Facebook*	4.00	0.914
Systematic press reviews and media content analyses*	3.97	0.88
Canvassing*	3.87	1.413
Systematic observation of political opponents*	3.81	0.996
Clean (fair) campaigning*	3.78	1.104
Use of polls*	3.66	1.167
Use of focus groups*	3.57	1.163
Communication with voters via email*	3.51	1.228
Communication with voters via YouTube	3.46	1.043
Communication with voters via twitter	3.32	1.19
Use of outdoor advertisements	3.28	1.610
Communication with voters via other „new“ media	3.16	1.253
Use of internet advertisements	3.03	1.727
Use of advertisements in print media	2.82	1.666
Use of radio spots on public channels	2.76	1.527
Willingness of the top candidates to reveal a little privacy	2.74	1.141
Use of TV spots on public channels	2.69	1.605
Communication with voters via telephone	2.69	1.273
Use of paid media such as TV spots. posters or advertisements	2.68	1.966
Negative campaigning	2.65	1.103
Use of radio spots on private channels	2.56	1.661
Use of TV spots on private channels	2.31	1.789
Willingness to eventually attack the political opponent even “below the belt“	1.90	0.979
<b>Total</b>	<b>3.89</b>	<b>0.494</b>

Note: Scale: 1 (“not at all important”) to 5 (“very important”), N=68

\*Included in subsequent analyses

**Table 4: Evaluations of Structural Components of Professional Campaigning**

	Factor		
	1	2	3
Clearly established roles and functions in the national campaign headquarter	.737		
A centralized planning of the campaign on the level of the national campaign headquarter	.840		
A centrally supervised campaign from the level of the national campaign headquarter	.854		
Collaboration with experienced personnel from within the party	.402		
Units/persons for opposition research	.459		
A carefully planned budget		.578	
The size of the campaign budget		.710	
Length of the campaign from the planning to Election Day		.764	
A large number of campaign volunteers			.748
An effective intra-party communication			.717
% of variance explained	21.8	8.5	8.1
Cronbach's $\alpha$	.719	.518	.468

*Note: Principal Component Analysis; Varimax rotation with Kaiser Normalization; factor values > 0.30, N=68*

**Table 5: Analysis of Evaluations of Strategic Components of Professional Campaigning**

	Factor			
	1	2	3	4
Information on expectations and motivations of relevant groups of voters	.832			
Good timing	.477			
Having an impact on media's agenda	.679			
Use of polls	.776			
Use of focus groups	.638			
Presence of party and top candidates on TV		.503		
The right top candidates		.613		
Systematic press reviews and media content analyses		.715		
Systematic observation of political opponents		.883		
An up-to-date appearance of party and top candidates on the internet			.766	
Communication with voters via Facebook			.792	
Clean (fair) campaigning			.414	
Communication with voters via email			.519	
Choice of right strategy				.582
Choice of right issues				.370
Communication with voters face to face				.739
Canvassing				.684
% of variance explained	23.9	10.7	9.5	8.3
Cronbach's $\alpha$	.766	.714	.520	.450

*Note: PCA; Varimax rotation with Kaiser Normalization; factor values > 0.30, N=68*

**Table 6: Explanations of Evaluations of Structural Components of Professional Campaigning**

	<b>Structural index: Factor 1</b>	<b>Structural index: Factor 2</b>	<b>Structural index: Factor 3</b>
<b>Macro level</b>			
Size of the population	.000	.000	.000
Turnout in last parliamentary election	-.061	.036	-.043
Interest in politics	7.505	-2.563	3.883
Trust in political parties	-.106	.038	-.052
Fragmentation of the parliamentary system	-.139	.069	-.078
Model of media and politics: democratic corporatist	-2.491	.846	-1.146
Model of media and politics: polarized pluralist	-.609	.484	-.280
Model of media and politics: transitory	-5.065	2.768	-2.566
Campaign regulations: limitations of expenses	-.037	.263	.450
Campaign regulations: restricted advertising	-1.938*	.484	-1.054
<b>Meso level</b>			
Electoral experience	.003	.005**	.000
Size	.008	-.006	-.001
Party type (catch-all)	.161	.100	.062
Ideology	-.064	-.027	.005
Internal shock	-.011	-.023	-.007
External shock	-.005	.010	.011
Parliamentary role (governing)	.084	.096	.041
Constant	-4.905	5.190	-.070
R <sup>2</sup>	.404	.246	.248
Adjusted R <sup>2</sup>	.179	-.039	-.036

*Note: OLS Linear Regressions, N=63 [due to the fact of missing variables for the external shock variables (5)], \*p < 0.1; \*\*p < 0.05; \*\*\*p < 0.01*

**Table 7: Explanations of Evaluations of Strategic Components of Professional Campaigning**

	Strategy index: Factor 1	Strategy index: Factor 2	Strategy index: Factor 3	Strategy index: Factor 4
<b>Macro level</b>				
Size of the population	.000	.000	.000*	.000**
Turnout in last parliamentary election	-.024	.026	-.066	-.084**
Interest in politics	4.346	-4.635	8.366	13.557**
Trust in political parties	-.025	.035	-.152*	-.212**
Fragmentation of the parliamentary system	-.055	.043	-.161*	-.268***
Model of media and politics: democratic corporatist	-1.866	2.306	-2.864	-5.196**
Model of media and politics: polarized pluralist	-.316	.455	-1.044	-1.711**
Model of media and politics: transitory	-1.979	2.894	-6.850	-9.937**
Campaign regulations: limitations of expenses	.115	-.230	-.282	.324
Campaign regulations: restricted advertising	-1.027	1.241	-1.185	-1.419*
<b>Meso level</b>				
Electoral experience	.003	-.002	-.003	.003
Size	.007	.021*	.006	.001
Party type (catch-all)	.486**	.179	.266	.182
Ideology	.032	.007	.045	.015
Internal shock	-.024	-.003	.053**	.001
External shock	-.002	-.016	-.035**	-.011
Parliamentary role (governing)	.120	-.203	-.042	-.067
Constant	-3.851	11.438	-4.969	-12.871**
R <sup>2</sup>	.461	.247	.252	.495
Adjusted R <sup>2</sup>	.257	-.037	-.031	.305

*Note: OLS Linear Regressions, N=63 [due to the fact of missing variables for the external shock variables (5)],*

*\*p < 0.1; \*\*p < 0.05; \*\*\*p < 0.01*





France	Union for a Peoples' Movement	UMP
France	Front Nationale	FN
France	Radical Party of the Left	PRG
France	French Communist Party	PCF
France	The Left Party	PG
Germany	Free Democratic Party	FDP
Germany	Christian Democratic Union	CDU
Germany	Leftist Party	Linke
Germany	Green Party	Grüne-G
Germany	Social Democratic Party	SDP
Germany	Pirate Party	PP-G
Hungary	The Alliance for Young Democrats – Hungarian Civic Party	Fidesz
Hungary	Hungarian Socialist Party	MSZP
Hungary	Movement for Better Hungary	Jobbik
Hungary	Politics can be Different	LMP
Hungary	Together 2014	Együtt
Malta	Labour Party	MLP
Netherlands	Democrats 66	D66
Netherlands	Christian Union	CU
Netherlands	Animal Party	PvdD
Netherlands	Labour Party	PvdA
Netherlands	Orthodox Reformed Party	SGP
Netherlands	Green Left	GL
Netherlands	Socialist Party	SP
Netherlands	Christian Democratic Appèl	CDA
Portugal	Left Bloc	BE
Portugal	Socialist Party	PS-PT
Portugal	People's Party	PP-P
Portugal	Social Democratic Party	PSD
Portugal	Portuguese Communist Party	PCP
Slovakia	Direction-Social Democracy	Smer-SD
Slovakia	Christian-democratic Movement	KDH
Slovakia	Ordinary people-Independent Personalities	OL'aNO
Slovakia	Bridge	Most-Híd
Slovakia	Slovak Democratic and Christian Union – Democratic Union	SDKÚ-DS
Slovakia	Freedom and Solidarity	SaS
Spain	People's Party	PP-S
Spain	Spanish Socialist Workers Party	PSOE
Spain	United Left	IU
Spain	Union, Progress and Democracy	UPyD
Spain	Convergence and Union	CiU
Spain	The Asturias Forum-Regionalist Party	FAC
United Kingdom	Liberal Democrats	LibDem
United Kingdom	United Kingdom Independence Party	UKIP
United Kingdom	Green Party	GP
United Kingdom	Scottish National Party	SNP
United Kingdom	Labour Party	L
United Kingdom	Conservative Party	Con